

JOINT ACCOUNT OPENING FORM



To: Union Bank of Colombo PLC _____ Branch
 Company Reg. No: PB 676 PQ

Date

D	D	M	M	Y	Y	Y	Y
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We hereby request you to open the following account

Local FCBU

Current Savings

FCY

TYPE Regular Regular Savings Passbook RFC SIA Fixed Deposit
 Ultra Saver Statement NRFC FEEA Call Deposit
 Salary Power RNNFC
 Salary Select SFIDA Others _____
 Invest Plus

Operating Instruction Either of us Both Any Two All of us Other _____

For Bank Use Only

Account No.

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CIF-Primary Applicant

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CIF-Joint Applicant

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PERSONAL DETAILS

PRIMARY APPLICANT

Name in full: Mr Mrs Miss Dr Rev

NIC/ PP/ DL No

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 Expiry _____

Date of birth

D	D	M	M	Y	Y	Y	Y
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Nationality

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 Visa Expiry _____

Permanent Address

Correspondence Address

Contact No

Residence

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Mobile

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E-mail

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Gender Male Female

Marital Status

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Mother's maiden Name

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JOINT APPLICANT

Name in full: Mr Mrs Miss Dr Rev

NIC/ PP/ DL No

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 Expiry _____

Date of birth

D	D	M	M	Y	Y	Y	Y
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Nationality

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 Visa Expiry _____

Permanent Address

Correspondence Address

Contact No

Residence

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Mobile

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E-mail

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Gender Male Female

Marital Status

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Mother's maiden Name

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EMPLOYMENT INFORMATION

Occupation / Position

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Name of Employer

Address of Employer/ Business Place

Nature of Business

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Occupation / Position

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Name of Employer

Address of Employer/ Business Place

Nature of Business

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VALUE ADDED SERVICES (Applicable only for Either to sign operating instruction)/(Propose a User ID to increase the chances of obtaining a unique User ID)

SMS Alert Yes No

Internet Banking Yes No

Mobile Banking Yes No

Preferred User ID

SMS Alert Yes No

Internet Banking Yes No

Mobile Banking Yes No

Preferred User ID

OTHER INFORMATION	PRIMARY APPLICANT	JOINT APPLICANT
Current Bankers		
Are you a tax payer	Yes <input type="checkbox"/> No <input type="checkbox"/> Tax File No _____	Yes <input type="checkbox"/> No <input type="checkbox"/> Tax File No _____
Annual Income		
Ownership of Wealth and Estimated value	<input type="checkbox"/> Residential property Rs. _____ <input type="checkbox"/> Business premises Rs. _____ <input type="checkbox"/> Motor vehicles Rs. _____ <input type="checkbox"/> Financial assets Rs. _____ <input type="checkbox"/> Investments Rs. _____ <input type="checkbox"/> Other (specify) Rs. _____ (if property is on rent/lease, please indicate)	<input type="checkbox"/> Residential property Rs. _____ <input type="checkbox"/> Business premises Rs. _____ <input type="checkbox"/> Motor vehicles Rs. _____ <input type="checkbox"/> Financial assets Rs. _____ <input type="checkbox"/> Investments Rs. _____ <input type="checkbox"/> Other (specify) Rs. _____ (if property is on rent/lease, please indicate)
Other Connected Business/Professional Activities and interests		

VERIFICATION DOCUMENTS

Name Date of Birth and Nationality	<input type="checkbox"/> National Identity Card <input type="checkbox"/> Driving License <input type="checkbox"/> Valid Passport <input type="checkbox"/> Marriage Certificate (Name Change) <input type="checkbox"/> Others (specify) _____	<input type="checkbox"/> National Identity Card <input type="checkbox"/> Driving License <input type="checkbox"/> Valid Passport <input type="checkbox"/> Marriage Certificate (Name Change) <input type="checkbox"/> Others (specify) _____
Address Original documents less than 3 months old excluding mobile phone bills.	<input type="checkbox"/> National Identity Card <input type="checkbox"/> Driving License <input type="checkbox"/> Tenancy Agreement <input type="checkbox"/> Letter from a Public Authority <input type="checkbox"/> Income Tax Receipt/Assessment Notice <input type="checkbox"/> Utility bill (specify) _____ <input type="checkbox"/> Others (specify) _____	<input type="checkbox"/> National Identity Card <input type="checkbox"/> Driving License <input type="checkbox"/> Tenancy Agreement <input type="checkbox"/> Letter from a Public Authority <input type="checkbox"/> Income Tax Receipt/Assessment Notice <input type="checkbox"/> Utility bill (specify) _____ <input type="checkbox"/> Others (specify) _____
Are you or any member of your family a Politically Exposed Person (PEP)?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>
If yes please specify		

FIU Definition of PEP's (Politically Exposed Person)

Individuals in Sri Lanka or abroad who are or have been entrusted with prominent public functions. Eg: Heads of State or of Government, Senior Politicians, Senior Judicial or Military Officials, Senior Executives of State Owned Corporations, Important Political Party Officials

INITIAL DEPOSIT

Cash _____ Cheque _____ Transfer From (Please indicate the amount) _____ Other _____

PURPOSE FOR OPENING THE ACCOUNT AND USAGE

- | | | |
|---|--|---|
| <input type="checkbox"/> Savings | <input type="checkbox"/> Utility Bill Payments | <input type="checkbox"/> Loan Repayment |
| <input type="checkbox"/> Investment Purpose | <input type="checkbox"/> Business Transactions | <input type="checkbox"/> Share Transactions |
| <input type="checkbox"/> Employment/Professional income | <input type="checkbox"/> Family Remittance | <input type="checkbox"/> Others _____ |

Foreign passport holders / Non Resident Customers (Please give the reason for opening the account in a foreign jurisdiction)

EXPECTED MODE OF TRANSACTIONS (NOT APPLICABLE FOR FD/CALL DEPOSITS)

- | | | | |
|-------------------------------|----------------------------------|---|-----------------------------------|
| <input type="checkbox"/> Cash | <input type="checkbox"/> Cheques | <input type="checkbox"/> Fund Transfers | <input type="checkbox"/> Internet |
| <input type="checkbox"/> ATM | <input type="checkbox"/> CEFT | <input type="checkbox"/> Mobile | <input type="checkbox"/> Other |

SOURCE OF FUNDS: EXPECTED SOURCE AND NATURE OF CREDITS INTO THE ACCOUNT (NOT APPLICABLE FOR FD/CALL DEPOSITS)

- | | | | |
|--|--|--|---|
| <input type="checkbox"/> Family Remittances | <input type="checkbox"/> Commission Income | <input type="checkbox"/> Contract Proceeds | <input type="checkbox"/> Sale / Business Turnover |
| <input type="checkbox"/> Investment Proceeds | <input type="checkbox"/> Sale of Property / Assets | <input type="checkbox"/> Gift | <input type="checkbox"/> Salary/Profit Income |
| <input type="checkbox"/> Others (Please specify) _____ | | | |

ANTICIPATED VOLUMES (NOT APPLICABLE FOR FD/CALL DEPOSITS)

Expected / Usual average volumes of deposits into the account in Sri Lankan rupees per month

- | | |
|---|---|
| <input type="checkbox"/> Less than 100,000 (Approx. US\$ 1,000) | <input type="checkbox"/> 100,001 to 500,000 (Approx. US\$ 1,000 to 5,000) |
| <input type="checkbox"/> 500,001 to 1,000,000 (Approx. US\$ 5,000 to 10,000) | <input type="checkbox"/> 1,000,001 to 2,000,000 (Approx. US\$ 10,000 to 20,000) |
| <input type="checkbox"/> 2,000,001 to 3,000,000 (Approx. US\$ 20,000 to 30,000) | <input type="checkbox"/> 3,000,001 to 5,000,000 (Approx. US\$ 30,000 to 50,000) |
| <input type="checkbox"/> 5,000,001 to 7,000,000 (Approx. US\$ 50,000 to 70,000) | <input type="checkbox"/> 7,000,001 to 10,000,000 (Approx. US\$ 70,000 to 100,000) |
| <input type="checkbox"/> Over 10,000,001 (Approx. US\$ 100,000) | |

EXPECTED TURNOVER/VOLUMES OF BUSINESS/ EMPLOYMENT

- | | |
|---|---|
| <input type="checkbox"/> Less than 100,000 (Approx., US\$ 1,000 per month) | <input type="checkbox"/> 100,001 to 500,000 (Approx. US\$ 1,000 to 5,000 per month) |
| <input type="checkbox"/> 500,001 to 1,000,000 (Approx. US\$ 5,000 to 10,000 per month) | <input type="checkbox"/> 1,000,001 to 2,000,000 (Approx. US\$ 10,000 to 20,000 per month) |
| <input type="checkbox"/> 2,000,001 to 3,000,000 (Approx. US\$ 20,000 to 30,000 per month) | <input type="checkbox"/> 3,000,001 to 5,000,000 (Approx. US\$ 30,000 to 50,000 per month) |
| <input type="checkbox"/> 5,000,001 to 7,000,000 (Approx. US\$ 50,000 to 70,000 per month) | <input type="checkbox"/> 7,000,001 to 10,000,000 (Approx. US\$ 70,000 to 100,000 per month) |
| <input type="checkbox"/> Over 10,000,001 (Approx. US\$ 100,000 per month) | |

FATCA

Are you an **American Person / Primary Applicant** Yes /No **Joint Applicant** Yes / No (If yes please complete the FATCA declaration and duly sign off)
 Definition of an American Person - If the applicant is; USA citizen (irrespective of dual citizenship)/ USA passport holder/ Green Card holder/ USA born/ resident in USA/ USA address given for permanent or correspondent address/ A frequent traveler to USA/ Joint AC holder possess a USA passport/A shareholder of a US based company/ spend certain number of days in USA each year/ declaring to be a US person.

ACKNOWLEDGEMENT

We hereby acknowledge that We have received a copy of the Personal Account Terms & Condition (as may be amended by the Bank from time to time) and that We have read and understood its contents and agree to be bound by the said Terms and conditions in opening and operating this account with Union Bank of Colombo PLC. We hereby further acknowledge that the said terms and conditions, Central Bank EFTC declaration together with this mandate constitute our contract with the Bank. We confirm that the details given above and overleaf are true and correct.

We hereby acknowledge and agree to be bound by the Terms & Conditions stipulated by the Bank (as may be amended by the Bank from time to time) that We accept on-line prior to using the Bank's on-line services and mobile applications in operating this account with Union Bank of Colombo PLC. We agree that all communications with Union Bank for on-line and/or mobile services shall be through the registered email and mobile number and agree to keep the Bank informed of any changes of such addresses. We agree that only either party can conduct transactions separately through on-line/mobile services. We hereby agree that the Bank reserves the right to create a User ID that is based on the First, Middle and Last Names or a combination thereof with or without numerical in the event the preferred User IDs are not available.

Primary Applicant's Signature

Joint Applicant's Signature

FOR BANK USE ONLY

Account Opened By		Verified and Authorised By	
BDA / BDO Name		BDA / BDO CODE	
Customer & Card Data Entered by		Customer & Card Data Verified and Authorised by	

I _____ (name of the officer) have carefully examined the information together with relevant documents submitted by _____ and _____ (Name of the cardholders) and satisfied myself that the said information and document are in conformity with Exchange Control requirements and the internal policies/procedures of the Bank.

The bank undertakes to exercise due diligence on the transactions carried out by the cardholder on their EFTC in foreign exchange and to suspend the availability of foreign exchange on the EFTC if reasonable ground exist to suspect that unauthorized foreign exchange transactions are being carried out on the EFTC in violation of the undertaking given by the cardholders and to bring the matter to the notice of the controller of exchange.

Approved by (BM/AM)		Signature	
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Nature of business code Business sector code Sub sector code

No. of Cheque Leaves Cheque Book Collecting Branch

D	D	M	M	Y	Y	Y	Y
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Date