

Individual Account Opening Form



Individual Account Opening Form



To: Union Bank of Colombo PLC..... Branch
 Company Reg. No: PB 676 PQ

Date

I hereby request you to open the following account

Local FCBU
Current Savings
 TYPE Regular Regular Savings Passbook RFC SIA Fixed Deposit
 Ultra Saver Statement NRFC FEEA Call Deposit
 Salary Power RNNFC
 Salary Select SFIDA Others.....
 Invest Plus

For Bank Use Only

Account No.

CIF No.

PERSONAL DETAILS

Applicant (Mr/Mrs/Miss/ Dr/Rev)

Name in Full :
 NIC/PP/DL No: Expiry: Date of Birth:
 Nationality: Visa Expiry:
 Permanent Address:
 Correspondence Address:
 Contact No - Residence: Mobile: E-Mail:
 Gender: Marital Status: Mother's Maiden Name:

EMPLOYMENT INFORMATION

Occupation / Position: Name of Employer:
 Address of Employer/Business Place:
 Nature of Business:

FIXED DEPOSIT & CALL DEPOSIT DETAILS

Amount in words: In figures:
 Period Automatic Renewal with Interest Without Interest
 Please credit/remitt interest at maturity/monthly/annually to Account No. at
 (Bank & Branch) Name of Account Holder

INTRODUCTION (FOR LKR CURRENT ACCOUNTS ONLY)

Name: Branch:
 Account No: Name of Employer:
 Occupation: Designation:
 NIC/PP/DL No: Address of Employer:
 Contact No: Expiry:

I, the undersigned do hereby confirm that the applicant of this current account is suitable to open and operate a current account with Union Bank of Colombo PLC.

Date: Signature : CIF No.

CHEQUE BOOK DETAILS

Name to be Printed

STATEMENTS Post Email
 Post Monthly (Only for CA) Quarterly (Only for SA)
 Email Daily Monthly

VALUE ADDED SERVICE (Propose a User ID to increase the chances of obtaining a unique User ID)

SMS Alert Yes No
 Internet Banking Yes No
 Mobile Banking Yes No

Preferred User ID Applicant's Signature

OTHER INFORMATION

Current Bankers

Are you a tax payer Yes No Tax File No:

Annual Income

Ownership of Wealth and Estimated Value

<input type="checkbox"/> Residential property LKR.....	<input type="checkbox"/> Business premises LKR.....
<input type="checkbox"/> Motor vehicles LKR.....	<input type="checkbox"/> Financial assets LKR.....
<input type="checkbox"/> Investments LKR.....	<input type="checkbox"/> Other (specify) LKR.....

(if property is on rent/lease, please indicate)

Other Connected Business/Professional activities and interests

VERIFICATION DOCUMENTS

Name, Date of Birth and Nationality

<input type="checkbox"/> National Identity Card	<input type="checkbox"/> Driving License	<input type="checkbox"/> Valid Passport
<input type="checkbox"/> Marriage Certificate (Name Change)	<input type="checkbox"/> Others (specify).....	

Address

<input type="checkbox"/> National Identity Card	<input type="checkbox"/> Driving License	<input type="checkbox"/> Tenancy Agreement
<input type="checkbox"/> Letter from a Public Authority	<input type="checkbox"/> Income Tax Receipt/Assessment Notice	
<input type="checkbox"/> Utility bill (specify).....	<input type="checkbox"/> Others (specify).....	

Original documents less than 3 months old excluding mobile phone bills

Are you or any member of your family a Politically Exposed Person (PEP)? Yes No

If yes, please specify

FIU Definition of PEP's (Politically Exposed Person) Individuals in Sri Lanka or abroad who are or have been entrusted with prominent public functions. Eg: Heads of State or of Government, Senior Politicians, Senior Judicial or Military Officials, Senior Executives of State Owned Corporations, important political party officials.

INITIAL DEPOSIT

Cash Cheque Transfer From (Please indicate the amount) Other

PURPOSE FOR OPENING THE ACCOUNT AND USAGE

<input type="checkbox"/> Savings	<input type="checkbox"/> Utility Bill Payments	<input type="checkbox"/> Loan Repayment
<input type="checkbox"/> Investment Purpose	<input type="checkbox"/> Business Transactions	<input type="checkbox"/> Share Transactions
<input type="checkbox"/> Employment/Professional income	<input type="checkbox"/> Family Remittance	<input type="checkbox"/> Others

Foreign Passport Holders / Non-Resident Customers (Please give the reason for opening the account in a foreign jurisdiction)

EXPECTED MODE OF TRANSACTIONS (NOT APPLICABLE FOR FD/CALL DEPOSITS)

<input type="checkbox"/> Cash	<input type="checkbox"/> Cheques	<input type="checkbox"/> Fund Transfers	<input type="checkbox"/> Internet
<input type="checkbox"/> ATM	<input type="checkbox"/> CEFT	<input type="checkbox"/> Mobile	<input type="checkbox"/> Other

SOURCE OF FUNDS: EXPECTED SOURCE AND NATURE OF CREDITS INTO THE ACCOUNT (NOT APPLICABLE FOR FD/CALL DEPOSITS)

<input type="checkbox"/> Family Remittances	<input type="checkbox"/> Commission Income	<input type="checkbox"/> Contract Proceeds	<input type="checkbox"/> Sale / Business Turnover
<input type="checkbox"/> Investment Proceeds	<input type="checkbox"/> Sale of Property / Assets	<input type="checkbox"/> Gift	<input type="checkbox"/> Salary / Profit Income
<input type="checkbox"/> Others (Please specify)			

ANTICIPATED VOLUMES (NOT APPLICABLE FOR FD/CALL DEPOSITS)

Expected / Usual average volumes of deposits into the account in Sri Lankan rupees per month

<input type="checkbox"/> Less than 100,000 (Approx. US\$ 1,000)	<input type="checkbox"/> 100,001 to 500,000 (Approx. US\$ 1,000 to 5,000)
<input type="checkbox"/> 500,001 to 1,000,000 (Approx. US\$ 5,000 to 10,000)	<input type="checkbox"/> 1,000,001 to 2,000,000 (Approx. US\$ 10,000 to 20,000)
<input type="checkbox"/> 2,000,001 to 3,000,000 (Approx. US\$ 20,000 to 30,000)	<input type="checkbox"/> 3,000,001 to 5,000,000 (Approx. US\$ 30,000 to 50,000)
<input type="checkbox"/> 5,000,001 to 7,000,000 (Approx. US\$ 50,000 to 70,000)	<input type="checkbox"/> 7,000,001 to 10,000,000 (Approx. US\$ 70,000 to 100,000)
<input type="checkbox"/> Over 10,000,001 (Approx. US\$ 100,000)	

EXPECTED TURNOVER/ VOLUMES OF BUSINESS/ EMPLOYMENT

<input type="checkbox"/> Less than 100,000 (Approx. US\$1,000 per month)	<input type="checkbox"/> 100,001 to 500,000 (Approx. US\$ 1,000 to 5,000 per month)
<input type="checkbox"/> 500,001 to 1,000,000 (Approx. US\$ 5,000 to 10,000 per month)	<input type="checkbox"/> 1,000,001 to 2,000,000 (Approx. US\$ 10,000 to 20,000 per month)
<input type="checkbox"/> 2,000,001 to 3,000,000 (Approx. US\$ 20,000 to 30,000 per month)	<input type="checkbox"/> 3,000,001 to 5,000,000 (Approx. US\$ 30,000 to 50,000 per month)
<input type="checkbox"/> 5,000,001 to 7,000,000 (Approx. US\$ 50,000 to 70,000 per month)	<input type="checkbox"/> 7,000,001 to 10,000,000 (Approx. US\$ 70,000 to 100,000 per month)
<input type="checkbox"/> Over 10,000,001 (Approx. US\$ 100,000 per month)	

FATCA

Are you an American Person? Yes / No _____(If yes please complete the FATCA declaration and duly sign off)

Definition of an American Person - If the applicant is; USA citizen (irrespective of dual citizenship)/USA passport holder/Green Card holder/USA born/resident in USA/USA address given for permanent or correspondent address/A frequent traveller to USA/Joint AC holder possesses a USA passport/A shareholder of a US based company/spend certain number of days in USA each year/declaring to be a US person.

ADDITIONAL INFORMATION FOR DEBIT CARDS

Instant Card

Personalised Card

Name to be printed on the card (for Personalised Card Only) Maximum 21 characters

Grid for name input

Card Collecting branch (for Personalised Card only)

Grid for branch input

Account No. for Debit Card transactions

Grid for account number input

CardType CLASSIC PLATINUM

Card No. 4 6 4 1 7 8 X X X X X X

Card and PIN Received

Signature (Only for non-personalised card issuance) Date

CENTRAL BANK OF SRI LANKA

Declaration by the Applicant for Electronic Fund Transfer Cards (EFTC)

To: The Controller of Exchange

(To be filled by the Applicant to obtain foreign exchange against credit/debit or any other Electronic Fund Transfer Card.)

I declare that all details given above by me on this form are true and correct. I hereby confirm that I am aware of the conditions imposed under the Exchange Control Act in the Notice published in the Extraordinary Gazette No.1789/34 of 20th December 2012 subject to which the card may be used for transactions in foreign exchange and I hereby undertake to abide by the said conditions.

I further agree to provide any information on transactions carried out by me in foreign exchange on the card issued to me as Union Bank of Colombo PLC may require for the purpose of Exchange Control Act.

I also affirm that I undertake to surrender the debit card to Union Bank of Colombo PLC if I migrate or leave Sri Lanka for employment abroad.

I am aware that the Authorised dealer is required to suspend availability of foreign exchange on EFTC if reasonable ground exists to suspect that unauthorised foreign exchange transactions are being carried out on the EFTC issued to me.

ACKNOWLEDGEMENT

I hereby acknowledge that I have received a copy of the personal Account Terms & Condition (as may be amended by the Bank from time to time) and I have read and understood its contents and agree to be bound by the said Terms and conditions in opening and operating this account with Union Bank of Colombo PLC. I hereby further acknowledge that the said Terms and conditions, Central Bank EFTC declaration together with this mandate constitute my contract with the Bank. I confirm that the details given above and overleaf are true and correct.

I hereby acknowledge and agree to be bound by the Terms & Conditions stipulated by the Bank (as may be amended by the Bank from time to time) that I accept on-line prior to using the Bank's on-line services and mobile applications in operating this account with Union Bank of Colombo PLC. I agree that all communications with Union Bank for on-line and/or mobile services shall be through the registered email and mobile number and agree to keep the Bank informed of any changes of such addresses. I hereby agree that the Bank reserves the right to create a User ID that is based on the First, Middle and Last Names or a combination thereof with or without numerical in the event the preferred User ID is not available.

Signature box

Applicant's Signature

FOR BANK USE ONLY

Table with 4 columns: Account Opened By, BDA / BDO Name, Customer & Card Data Entered by, Verified and Authorised By, BDA / BDO CODE, Customer & Card Data Verified and Authorised by

I..... (name of the officer) have carefully examined the information together with relevant documents submitted by (Name of the cardholder) and satisfied myself that the said information and documents are in conformity with Exchange Control requirements and the internal policies/procedures of the Bank. The Bank undertakes to exercise due diligence on the transactions carried out by the cardholder on his/her EFTC in foreign exchange and to suspend the availability of foreign exchange on the EFTC if reasonable ground exists to suspect that unauthorised foreign exchange transactions are being carried out on the EFTC in violation of the undertaking given by the cardholder and to bring the matter to the notice of the Controller of Exchange.

Approved by (BM/AM) Signature

Nature of business code Business sector code Sub sector code

No. of Cheque Leaves Cheque Book Collecting Branch

Date